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(54) Title: GENE EXPRESSION MARKERS FOR RESPONSE TO EGFR INHIBITOR DRUGS

(57) Abstract: The present invention concerns prognostic markers associated with cancer. In particular, the invention concerns prognostic methods based on the molecular characterization of gene expression in paraffin-embedded, fixed samples of cancer tissue, which allow a physician to predict whether a patient is likely to respond well to treatment with an EGFR inhibitor.



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## GENE EXPRESSION MARKERS FOR RESPONSE TO EGFR INHIBITOR DRUGS

### Field of the Invention

The present invention concerns gene expression profiling of tissue samples  
5 obtained from patients who are candidates for treatment with a therapeutic EGFR  
inhibitor. More specifically, the invention provides methods based on the molecular  
characterization of gene expression in paraffin-embedded, fixed cancer tissue samples,  
which allow a physician to predict whether a patient is likely to respond well to treatment  
with an EGFR inhibitor.

### Description of the Related Art

Oncologists have a number of treatment options available to them, including  
different combinations of chemotherapeutic drugs that are characterized as "standard of  
care," and a number of drugs that do not carry a label claim for particular cancer, but for  
which there is evidence of efficacy in that cancer. Best likelihood of good treatment  
15 outcome requires that patients be assigned to optimal available cancer treatment, and that  
this assignment be made as quickly as possible following diagnosis.

Currently, diagnostic tests used in clinical practice are single analyte, and  
therefore do not capture the potential value of knowing relationships between dozens of  
different markers. Moreover, diagnostic tests are frequently not quantitative, relying on  
20 immunohistochemistry. This method often yields different results in different  
laboratories, in part because the reagents are not standardized, and in part because the  
interpretations are subjective and cannot be easily quantified. RNA-based tests have not  
often been used because of the problem of RNA degradation over time and the fact that it  
is difficult to obtain fresh tissue samples from patients for analysis. Fixed paraffin-  
25 embedded tissue is more readily available. Fixed tissue has been routinely used for non-  
quantitative detection of RNA, by in situ hybridization. However, recently methods  
have been established to quantify RNA in fixed tissue, using RT-PCR. This technology  
platform can also form the basis for multi-analyte assays

Recently, several groups have published studies concerning the classification of  
30 various cancer types by microarray gene expression analysis (see, e.g. Golub *et al.*,  
*Science* 286:531-537 (1999); Bhattacharjee *et al.*, *Proc. Natl. Acad. Sci. USA* 98:13790-  
13795 (2001); Chen-Hsiang *et al.*, *Bioinformatics* 17 (Suppl. 1):S316-S322 (2001);  
Ramaswamy *et al.*, *Proc. Natl. Acad. Sci. USA* 98:15149-15154 (2001)). Certain

classifications of human breast cancers based on gene expression patterns have also been reported (Martin *et al.*, *Cancer Res.* 60:2232-2238 (2000); West *et al.*, *Proc. Natl. Acad. Sci. USA* 98:11462-11467 (2001); Sorlie *et al.*, *Proc. Natl. Acad. Sci. USA* 98:10869-10874 (2001); Yan *et al.*, *Cancer Res.* 61:8375-8380 (2001)). However, these studies  
5 mostly focus on improving and refining the already established classification of various types of cancer, including breast cancer, and generally do not link the findings to treatment strategies in order to improve the clinical outcome of cancer therapy.

Although modern molecular biology and biochemistry have revealed hundreds of genes whose activities influence the behavior of tumor cells, the state of their  
10 differentiation, and their sensitivity or resistance to certain therapeutic drugs, with a few exceptions, the status of these genes has not been exploited for the purpose of routinely making clinical decisions about drug treatments. One notable exception is the use of estrogen receptor (ER) protein expression in breast carcinomas to select patients to treatment with anti-estrogen drugs, such as tamoxifen. Another exceptional example is  
15 the use of ErbB2 (Her2) protein expression in breast carcinomas to select patients with the Her2 antagonist drug Herceptin® (Genentech, Inc., South San Francisco, CA).

Despite recent advances, a major challenge in cancer treatment remains to target specific treatment regimens to pathogenically distinct tumor types, and ultimately  
20 personalize tumor treatment in order to optimize outcome. Hence, a need exists for tests that simultaneously provide predictive information about patient responses to the variety of treatment options.

#### Summary of the Invention

The present invention is based on findings of a Phase II clinical study of gene expression in tissue samples obtained from human patients with non-small cell lung  
25 cancer (NSCLC) who responded or did not respond to treatment with EGFR inhibitors.

In one aspect, the invention concerns a method for predicting the likelihood that a cancer patient who is a candidate for treatment with a therapeutic EGFR inhibitor will respond to treatment with an EGFR inhibitor, comprising determining the expression level of one or more prognostic RNA transcripts or their expression products in a  
30 biological sample comprising tumor cells, such as a tumor tissue specimen, obtained from the patient, wherein the prognostic transcript is the transcript of one or more genes selected from the group consisting of:

hCRAa; LAMC2; B2M; STAT5B; LMYC; CKAP4; TAGLN; Furin; DHFR; CCND3; TITF1; FUS; FLT1; TIMP2; RASSF1; WISP1; VEGFC; GPX2; CTSH; AKAP12; APC; RPL19; IGFBP6; Bak; CyclinG1; Hepsin1; MMP2; XIAP; MUC1; STMY3; PDGFRb; GSTp; p53R2; DPYD; IGFBP3; MMP9; RRM; KRT17; PDGFRa; EPHX1; E2F1; HNF3A; mGST1; STAT3; IGF1R; EGFR; cdc25A; RPLPO; YB-1; CKAP4; Kitlng; HER2; Surfact A; BTC; PGK1; MTA1; FOLR1; Claudin 4, EMP1, wherein

(a) increased expression of one or more of hCRAa; LAMC2; STAT5B; CKAP4; TAGLN; Furin; FUS; FLT1; TIMP2; RASSF1; WISP1; VEGFC; GPX2; AKAP12; RPL19; IGFBP6; MMP2; STMY3; PDGFRb; GSTp; IGFBP3; MMP9; KRT17; PDGFRa; IGF1R; cdc25A; RPLPO; YB-1; CKAP4, EMP1 or the corresponding expression product, indicates that the patient is not likely to respond well to treatment with an EGFR inhibitor, and

(b) increased expression of one or more of B2M; LMYC; DHFR; CCND3; TITF1; CTSH; APC; Bak; CyclinG1; Hepsin1; XIAP; MUC1; p53R2; DPYD; RRM; EPHX1; E2F1; HNF3A; mGST1; STAT3; EGFR; Kitlng; HER2; Surfact A; BTC; PGK1; MTA1; FOLR1; Claudin 4, or the corresponding gene product, indicates that the patient is likely to respond well to treatment with an EGFR inhibitor.

The tissue sample preferably is a fixed, paraffin-embedded tissue. Tissue can be obtained by a variety of methods, including fine needle, aspiration, bronchial lavage, or transbronchial biopsy.

In a specific embodiment, the expression level of the prognostic RNA transcript or transcripts is determined by RT-PCR. In this case, and when the tissue sample is fixed, and paraffin-embedded, the RT-PCR amplicons (defined as the polynucleotide sequence spanned by the PCR primers) should preferably be less than 100 bases in length. In other embodiments, the levels of the expression product of the prognostic RNA transcripts are determined by other methods known in the art, such as immunohistochemistry, or proteomics technology. The assays for measuring the prognostic RNA transcripts or their expression products may be available in a kit format.

In another aspect, the invention concerns an array comprising polynucleotides hybridizing to one or more of the following genes: hCRA a; LAMC2; B2M; STAT5B; LMYC; CKAP4; TAGLN; Furin; DHFR; CCND3; TITF1; FUS; FLT1; TIMP2; RASSF1; WISP1; VEGFC; GPX2; CTSH; AKAP12; APC; RPL19; IGFBP6; Bak;

CyclinG1; Hepsin1; MMP2; XIAP; MUC1; STMY3; PDGFRb; GSTp; p53R2; DPYD; IGFBP3; MMP9; RRM; KRT17; PDGFRa; EPHX1; E2F1; HNF3A; mGST1; STAT3; IGF1R; EGFR; cdc25A; RPLPO; YB-1; CKAP4; Kitlng; HER2; Surfact A; BTC; PGK1; MTA1; FOLR1; Claudin 4; EMP1, immobilized on a solid surface. The polynucleotides can be cDNA or oligonucleotides. The cDNAs are typically about 500 to 5000 bases long, while the oligonucleotides are typically about 20 to 80 bases long. An array can contain a very large number of cDNAs, or oligonucleotides, e.g. up to about 330,000 oligonucleotides. The solid surface presenting the array can, for example, be glass. The levels of the product of the gene transcripts can be measured by any technique known in the art, including, for example, immunohistochemistry or proteomics.

In various embodiments, the array comprises polynucleotides hybridizing to two at least two, at least three, at least four, at least five, at least six, at least seven, at least eight, at least nine, at least ten, at least eleven, at least twelve, at least thirteen, at least fourteen, at least fifteen, at least seventeen, at least eighteen, at least nineteen, at least twenty, at least twenty-one, at least twenty-two, at least twenty-three, at least twenty-four, at least twenty-five, at least twenty-six, or all twenty-seven of the genes listed above. In a particular embodiment, hybridization is performed under stringent conditions.

In other embodiments, the array may comprise more than one polynucleotide hybridizing to the same gene.

In yet another embodiment, the array may comprise intron-based sequences, the expression of which correlated with the expression of a corresponding exon. Arrays comprising such intron-based sequences are disclosed, for example, in copending application Serial No. 10/783,884 filed on February 19, 2004, and in its PCT counterpart PCT/US04/05287 filed on February 19, 2004.

The invention further concerns a method of preparing a personalized genomics profile for a patient, comprising the steps of:

(a) subjecting RNA extracted from cancer tissue obtained from the patient to gene expression analysis;

(b) determining the expression level in the tissue of one or more genes selected from the group consisting of hCRA a; LAMC2; B2M; STAT5B; LMYC; CKAP4; TAGLN; Furin; DHFR; CCND3; TITF1; FUS; FLT1; TIMP2; RASSF1;

WISP1; VEGFC; GPX2; CTSB; AKAP12; APC; RPL19; IGFBP6; Bak; CyclinG1; Hepsin1; MMP2; XIAP; MUC1; STMY3; PDGFRb; GSTp; p53R2; DPYD; IGFBP3; MMP9; RRM; KRT17; PDGFRa; EPHX1; E2F1; HNF3A; mGST1; STAT3; IGF1R; EGFR; cdc25A; RPLPO; YB-1; CKAP4; Kitlmg; HER2; Surfact A; BTC; PGK1; MTA1; FOLR1; Claudin 4; EMP1, wherein the expression level is normalized against a control gene or genes and optionally is compared to the amount found in a corresponding cancer reference tissue set; and

(c) creating a report summarizing the data obtained by said gene expression analysis.

The report may include treatment recommendations, and the method may comprise a step of treating the patient following such treatment recommendations.

The invention additionally concerns a method for amplification of a gene selected from the group consisting of hCRA a; LAMC2; B2M; STAT5B; LMYC; CKAP4; TAGLN; Furin; DHFR; CCND3; TITF1; FUS; FLT1; TIMP2; RASSF1; WISP1; VEGFC; GPX2; CTSB; AKAP12; APC; RPL19; IGFBP6; Bak; CyclinG1; Hepsin1; MMP2; XIAP; MUC1; STMY3; PDGFRb; GSTp; p53R2; DPYD; IGFBP3; MMP9; RRM; KRT17; PDGFRa; EPHX1; E2F1; HNF3A; mGST1; STAT3; IGF1R; EGFR; cdc25A; RPLPO; YB-1; CKAP4; Kitlmg; HER2; Surfact A; BTC; PGK1; MTA1; FOLR1; Claudin 4; EMP1 by polymerase chain reaction (PCR), comprising performing said PCR by using a corresponding amplicon listed in Table 3, and a corresponding primer-probe set listed in Table 4.

The invention further encompasses any PCR primer-probe set listed in Table 4 and any PCR amplicon listed in Table 3.

#### Brief Description of the Drawings

Table 1 is a list of genes, expression of which correlates, positively or negatively, with patient response to treatment with an EGFR inhibitor.

Table 2 shows the results of binary statistical analysis of a list of genes, expression of which correlates with patient response to treatment with an EGFR inhibitor.

Table 3 is a list of genes, expression of which predict patient response to treatment with an EGFR inhibitor. The table includes accession numbers for the genes, and sequences for the forward and reverse primers (designated by "f" and "r", respectively) and probes (designated by "p") used for PCR amplification.

Table 4 shows the amplicon sequences used in PCR amplification of the indicated genes.

#### Detailed Description of the Preferred Embodiment

##### A. Definitions

5 Unless defined otherwise, technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. Singleton *et al.*, Dictionary of Microbiology and Molecular Biology 2nd ed., J. Wiley & Sons (New York, NY 1994), and March, Advanced Organic Chemistry Reactions, Mechanisms and Structure 4th ed., John Wiley & Sons (New  
10 York, NY 1992), provide one skilled in the art with a general guide to many of the terms used in the present application.

One skilled in the art will recognize many methods and materials similar or equivalent to those described herein, which could be used in the practice of the present invention. Indeed, the present invention is in no way limited to the methods and  
15 materials described. For purposes of the present invention, the following terms are defined below.

The term "microarray" refers to an ordered arrangement of hybridizable array elements, preferably polynucleotide probes, on a substrate.

The term "polynucleotide," when used in singular or plural, generally refers to  
20 any polyribonucleotide or polydeoxribonucleotide, which may be unmodified RNA or DNA or modified RNA or DNA. Thus, for instance, polynucleotides as defined herein include, without limitation, single- and double-stranded DNA, DNA including single- and double-stranded regions, single- and double-stranded RNA, and RNA including single- and double-stranded regions, hybrid molecules comprising DNA and RNA that  
25 may be single-stranded or, more typically, double-stranded or include single- and double-stranded regions. In addition, the term "polynucleotide" as used herein refers to triple-stranded regions comprising RNA or DNA or both RNA and DNA. The strands in such regions may be from the same molecule or from different molecules. The regions may include all of one or more of the molecules, but more typically involve only a region  
30 of some of the molecules. One of the molecules of a triple-helical region often is an oligonucleotide. The term "polynucleotide" specifically includes cDNAs. The term includes DNAs (including cDNAs) and RNAs that contain one or more modified bases. Thus, DNAs or RNAs with backbones modified for stability or for other reasons are

"polynucleotides" as that term is intended herein. Moreover, DNAs or RNAs comprising unusual bases, such as inosine, or modified bases, such as tritiated bases, are included within the term "polynucleotides" as defined herein. In general, the term "polynucleotide" embraces all chemically, enzymatically and/or metabolically modified forms of unmodified polynucleotides, as well as the chemical forms of DNA and RNA characteristic of viruses and cells, including simple and complex cells.

The term "oligonucleotide" refers to a relatively short polynucleotide, including, without limitation, single-stranded deoxyribonucleotides, single- or double-stranded ribonucleotides, RNA:DNA hybrids and double-stranded DNAs. Oligonucleotides, such as single-stranded DNA probe oligonucleotides, are often synthesized by chemical methods, for example using automated oligonucleotide synthesizers that are commercially available. However, oligonucleotides can be made by a variety of other methods, including *in vitro* recombinant DNA-mediated techniques and by expression of DNAs in cells and organisms.

The terms "differentially expressed gene," "differential gene expression" and their synonyms, which are used interchangeably, refer to a gene whose expression is activated to a higher or lower level in a subject suffering from a disease, specifically cancer, such as breast cancer, relative to its expression in a normal or control subject. The terms also include genes whose expression is activated to a higher or lower level at different stages of the same disease. It is also understood that a differentially expressed gene may be either activated or inhibited at the nucleic acid level or protein level, or may be subject to alternative splicing to result in a different polypeptide product. Such differences may be evidenced by a change in mRNA levels, surface expression, secretion or other partitioning of a polypeptide, for example. Differential gene expression may include a comparison of expression between two or more genes or their gene products, or a comparison of the ratios of the expression between two or more genes or their gene products, or even a comparison of two differently processed products of the same gene, which differ between normal subjects and subjects suffering from a disease, specifically cancer, or between various stages of the same disease. Differential expression includes both quantitative, as well as qualitative, differences in the temporal or cellular expression pattern in a gene or its expression products among, for example, normal and diseased cells, or among cells which have undergone different disease events or disease stages. For the purpose of this invention, "differential gene expression" is considered to be



present when there is at least an about two-fold, preferably at least about four-fold, more preferably at least about six-fold, most preferably at least about ten-fold difference between the expression of a given gene in normal and diseased subjects, or in various stages of disease development in a diseased subject.

5       The term "over-expression" with regard to an RNA transcript is used to refer the level of the transcript determined by normalization to the level of reference mRNAs, which might be all measured transcripts in the specimen or a particular reference set of mRNAs.

10       The phrase "gene amplification" refers to a process by which multiple copies of a gene or gene fragment are formed in a particular cell or cell line. The duplicated region (a stretch of amplified DNA) is often referred to as "amplicon." Usually, the amount of the messenger RNA (mRNA) produced, *i.e.*, the level of gene expression, also increases in the proportion of the number of copies made of the particular gene expressed.

15       The term "prognosis" is used herein to refer to the prediction of the likelihood of cancer-attributable death or progression, including recurrence, metastatic spread, and drug resistance, of a neoplastic disease, such as non-small cell lung cancer, or head and neck cancer. The term "prediction" is used herein to refer to the likelihood that a patient will respond either favorably or unfavorably to a drug or set of drugs, and also the extent of those responses, or that a patient will survive, following surgical removal or the  
20       primary tumor and/or chemotherapy for a certain period of time without cancer recurrence. The predictive methods of the present invention can be used clinically to make treatment decisions by choosing the most appropriate treatment modalities for any particular patient. The predictive methods of the present invention are valuable tools in predicting if a patient is likely to respond favorably to a treatment regimen, such as  
25       surgical intervention, chemotherapy with a given drug or drug combination, and/or radiation therapy, or whether long-term survival of the patient, following surgery and/or termination of chemotherapy or other treatment modalities is likely.

30       The term "long-term" survival is used herein to refer to survival for at least 1 year, more preferably for at least 2 years, most preferably for at least 5 years following surgery or other treatment.

      The term "increased resistance" to a particular drug or treatment option, when used in accordance with the present invention, means decreased response to a standard dose of the drug or to a standard treatment protocol.

The term "decreased sensitivity" to a particular drug or treatment option, when used in accordance with the present invention, means decreased response to a standard dose of the drug or to a standard treatment protocol, where decreased response can be compensated for (at least partially) by increasing the dose of drug, or the intensity of treatment.

"Patient response" can be assessed using any endpoint indicating a benefit to the patient, including, without limitation, (1) inhibition, to some extent, of tumor growth, including slowing down and complete growth arrest; (2) reduction in the number of tumor cells; (3) reduction in tumor size; (4) inhibition (i.e., reduction, slowing down or complete stopping) of tumor cell infiltration into adjacent peripheral organs and/or tissues; (5) inhibition (i.e. reduction, slowing down or complete stopping) of metastasis; (6) enhancement of anti-tumor immune response, which may, but does not have to, result in the regression or rejection of the tumor; (7) relief, to some extent, of one or more symptoms associated with the tumor; (8) increase in the length of survival following treatment; and/or (9) decreased mortality at a given point of time following treatment.

The term "treatment" refers to both therapeutic treatment and prophylactic or preventative measures, wherein the object is to prevent or slow down (lessen) the targeted pathologic condition or disorder. Those in need of treatment include those already with the disorder as well as those prone to have the disorder or those in whom the disorder is to be prevented. In tumor (*e.g.*, cancer) treatment, a therapeutic agent may directly decrease the pathology of tumor cells, or render the tumor cells more susceptible to treatment by other therapeutic agents, *e.g.*, radiation and/or chemotherapy.

The term "tumor," as used herein, refers to all neoplastic cell growth and proliferation, whether malignant or benign, and all pre-cancerous and cancerous cells and tissues.

The terms "cancer" and "cancerous" refer to or describe the physiological condition in mammals that is typically characterized by unregulated cell growth. Examples of cancer include but are not limited to, breast cancer, colon cancer, lung cancer, prostate cancer, hepatocellular cancer, gastric cancer, pancreatic cancer, cervical cancer, ovarian cancer, liver cancer, bladder cancer, cancer of the urinary tract, thyroid cancer, renal cancer, carcinoma, melanoma, head and neck cancer, and brain cancer.

The "pathology" of cancer includes all phenomena that compromise the well-being of the patient. This includes, without limitation, abnormal or uncontrollable cell

growth, metastasis, interference with the normal functioning of neighboring cells, release of cytokines or other secretory products at abnormal levels, suppression or aggravation of inflammatory or immunological response, neoplasia, premalignancy, malignancy, invasion of surrounding or distant tissues or organs, such as lymph nodes, etc.

5           The term "EGFR inhibitor" as used herein refers to a molecule having the ability to inhibit a biological function of a native epidermal growth factor receptor (EGFR). Accordingly, the term "inhibitor" is defined in the context of the biological role of EGFR. While preferred inhibitors herein specifically interact with (e.g. bind to) an EGFR, molecules that inhibit an EGFR biological activity by interacting with other  
10 members of the EGFR signal transduction pathway are also specifically included within this definition. A preferred EGFR biological activity inhibited by an EGFR inhibitor is associated with the development, growth, or spread of a tumor. EGFR inhibitors, without limitation, include peptides, non-peptide small molecules, antibodies, antibody fragments, antisense molecules, and oligonucleotide decoys.

15           "Stringency" of hybridization reactions is readily determinable by one of ordinary skill in the art, and generally is an empirical calculation dependent upon probe length, washing temperature, and salt concentration. In general, longer probes require higher temperatures for proper annealing, while shorter probes need lower temperatures. Hybridization generally depends on the ability of denatured DNA to reanneal when  
20 complementary strands are present in an environment below their melting temperature. The higher the degree of desired homology between the probe and hybridizable sequence, the higher the relative temperature which can be used. As a result, it follows that higher relative temperatures would tend to make the reaction conditions more stringent, while lower temperatures less so. For additional details and explanation of  
25 stringency of hybridization reactions, see Ausubel et al., Current Protocols in Molecular Biology, Wiley Interscience Publishers, (1995).

          "Stringent conditions" or "high stringency conditions", as defined herein, typically: (1) employ low ionic strength and high temperature for washing, for example 0.015 M sodium chloride/0.0015 M sodium citrate/0.1% sodium dodecyl sulfate at 50°C;  
30 (2) employ during hybridization a denaturing agent, such as formamide, for example, 50% (v/v) formamide with 0.1% bovine serum albumin/0.1% Ficoll/0.1% polyvinylpyrrolidone/50mM sodium phosphate buffer at pH 6.5 with 750 mM sodium

chloride, 75 mM sodium citrate at 42°C; or (3) employ 50% formamide, 5 x SSC (0.75 M NaCl, 0.075 M sodium citrate), 50 mM sodium phosphate (pH 6.8), 0.1% sodium pyrophosphate, 5 x Denhardt's solution, sonicated salmon sperm DNA (50 µg/ml), 0.1% SDS, and 10% dextran sulfate at 42°C, with washes at 42°C in 0.2 x SSC (sodium chloride/sodium citrate) and 50% formamide at 55°C, followed by a high-stringency wash consisting of 0.1 x SSC containing EDTA at 55°C.

"Moderately stringent conditions" may be identified as described by Sambrook et al., Molecular Cloning: A Laboratory Manual, New York: Cold Spring Harbor Press, 1989, and include the use of washing solution and hybridization conditions (e.g., temperature, ionic strength and %SDS) less stringent than those described above. An example of moderately stringent conditions is overnight incubation at 37°C in a solution comprising: 20% formamide, 5 x SSC (150 mM NaCl, 15 mM trisodium citrate), 50 mM sodium phosphate (pH 7.6), 5 x Denhardt's solution, 10% dextran sulfate, and 20 mg/ml denatured sheared salmon sperm DNA, followed by washing the filters in 1 x SSC at about 37-50°C. The skilled artisan will recognize how to adjust the temperature, ionic strength, etc. as necessary to accommodate factors such as probe length and the like. In the context of the present invention, reference to "at least one," "at least two," "at least five," etc. of the genes listed in any particular gene set means any one or any and all combinations of the genes listed.

In the context of the present invention, reference to "at least one," "at least two," "at least five," etc. of the genes listed in any particular gene set means any one or any and all combinations of the genes listed.

The term "normalized" with regard to a gene transcript or a gene expression product refers to the level of the transcript or gene expression product relative to the mean levels of transcripts/products of a set of reference genes, wherein the reference genes are either selected based on their minimal variation across, patients, tissues or treatments ("housekeeping genes"), or the reference genes are the totality of tested genes. In the latter case, which is commonly referred to as "global normalization", it is important that the total number of tested genes be relatively large, preferably greater than 50. Specifically, the term 'normalized' with respect to an RNA transcript refers to the transcript level relative to the mean of transcript levels of a set of reference genes. More

specifically, the mean level of an RNA transcript as measured by TaqMan® RT-PCR refers to the Ct value minus the mean Ct values of a set of reference gene transcripts.

The terms "expression threshold," and "defined expression threshold" are used interchangeably and refer to the level of a gene or gene product in question above which the gene or gene product serves as a predictive marker for patient response or resistance to a drug. The threshold typically is defined experimentally from clinical studies. The expression threshold can be selected either for maximum sensitivity (for example, to detect all responders to a drug), or for maximum selectivity (for example to detect only responders to a drug), or for minimum error.

#### B. Detailed Description

The practice of the present invention will employ, unless otherwise indicated, conventional techniques of molecular biology (including recombinant techniques), microbiology, cell biology, and biochemistry, which are within the skill of the art. Such techniques are explained fully in the literature, such as, "Molecular Cloning: A Laboratory Manual", 2<sup>nd</sup> edition (Sambrook et al., 1989); "Oligonucleotide Synthesis" (M.J. Gait, ed., 1984); "Animal Cell Culture" (R.I. Freshney, ed., 1987); "Methods in Enzymology" (Academic Press, Inc.); "Handbook of Experimental Immunology", 4<sup>th</sup> edition (D.M. Weir & C.C. Blackwell, eds., Blackwell Science Inc., 1987); "Gene Transfer Vectors for Mammalian Cells" (J.M. Miller & M.P. Calos, eds., 1987); "Current Protocols in Molecular Biology" (F.M. Ausubel et al., eds., 1987); and "PCR: The Polymerase Chain Reaction", (Mullis et al., eds., 1994).

##### 1. Gene Expression Profiling

Methods of gene expression profiling include methods based on hybridization analysis of polynucleotides, methods based on sequencing of polynucleotides, and proteomics-based methods. The most commonly used methods known in the art for the quantification of mRNA expression in a sample include northern blotting and *in situ* hybridization (Parker & Barnes, *Methods in Molecular Biology* 106:247-283 (1999)); RNase protection assays (Hod, *Biotechniques* 13:852-854 (1992)); and PCR-based methods, such as reverse transcription polymerase chain reaction (RT-PCR) (Weis *et al.*, *Trends in Genetics* 8:263-264 (1992)). Alternatively, antibodies may be employed that can recognize specific duplexes, including DNA duplexes, RNA duplexes, and DNA-RNA hybrid duplexes or DNA-protein duplexes. Representative methods for

sequencing-based gene expression analysis include Serial Analysis of Gene Expression (SAGE), and gene expression analysis by massively parallel signature sequencing (MPSS).

2. PCR-based Gene Expression Profiling Methods

a. Reverse Transcriptase PCR (RT-PCR)

One of the most sensitive and most flexible quantitative PCR-based gene expression profiling methods is RT-PCR, which can be used to compare mRNA levels in different sample populations, in normal and tumor tissues, with or without drug treatment, to characterize patterns of gene expression, to discriminate between closely related mRNAs, and to analyze RNA structure.

The first step is the isolation of mRNA from a target sample. The starting material is typically total RNA isolated from human tumors or tumor cell lines, and corresponding normal tissues or cell lines, respectively. Thus RNA can be isolated from a variety of primary tumors, including breast, lung, colon, prostate, brain, liver, kidney, pancreas, spleen, thymus, testis, ovary, uterus, head and neck, etc., tumor, or tumor cell lines, with pooled DNA from healthy donors. If the source of mRNA is a primary tumor, mRNA can be extracted, for example, from frozen or archived paraffin-embedded and fixed (e.g. formalin-fixed) tissue samples.

General methods for mRNA extraction are well known in the art and are disclosed in standard textbooks of molecular biology, including Ausubel *et al.*, Current Protocols of Molecular Biology, John Wiley and Sons (1997). Methods for RNA extraction from paraffin embedded tissues are disclosed, for example, in Rupp and Locker, *Lab Invest.* 56:A67 (1987), and De Andrés *et al.*, *BioTechniques* 18:42044 (1995). In particular, RNA isolation can be performed using purification kit, buffer set and protease from commercial manufacturers, such as Qiagen, according to the manufacturer's instructions. For example, total RNA from cells in culture can be isolated using Qiagen RNeasy mini-columns. Other commercially available RNA isolation kits include MasterPure™ Complete DNA and RNA Purification Kit (EPICENTRE®, Madison, WI), and Paraffin Block RNA Isolation Kit (Ambion, Inc.). Total RNA from tissue samples can be isolated using RNA Stat-60 (Tel-Test). RNA prepared from tumor can be isolated, for example, by cesium chloride density gradient centrifugation.

As RNA cannot serve as a template for PCR, the first step in gene expression profiling by RT-PCR is the reverse transcription of the RNA template into cDNA, followed by its exponential amplification in a PCR reaction. The two most commonly used reverse transcriptases are avilo myeloblastosis virus reverse transcriptase (AMV-RT) and Moloney murine leukemia virus reverse transcriptase (MMLV-RT). The reverse transcription step is typically primed using specific primers, random hexamers, or oligo-dT primers, depending on the circumstances and the goal of expression profiling. For example, extracted RNA can be reverse-transcribed using a GeneAmp RNA PCR kit (Perkin Elmer, CA, USA), following the manufacturer's instructions. The derived cDNA can then be used as a template in the subsequent PCR reaction.

Although the PCR step can use a variety of thermostable DNA-dependent DNA polymerases, it typically employs the Taq DNA polymerase, which has a 5'-3' nuclease activity but lacks a 3'-5' proofreading endonuclease activity. Thus, TaqMan® PCR typically utilizes the 5'-nuclease activity of Taq or Tth polymerase to hydrolyze a hybridization probe bound to its target amplicon, but any enzyme with equivalent 5' nuclease activity can be used. Two oligonucleotide primers are used to generate an amplicon typical of a PCR reaction. A third oligonucleotide, or probe, is designed to detect nucleotide sequence located between the two PCR primers. The probe is non-extendible by Taq DNA polymerase enzyme, and is labeled with a reporter fluorescent dye and a quencher fluorescent dye. Any laser-induced emission from the reporter dye is quenched by the quenching dye when the two dyes are located close together as they are on the probe. During the amplification reaction, the Taq DNA polymerase enzyme cleaves the probe in a template-dependent manner. The resultant probe fragments disassociate in solution, and signal from the released reporter dye is free from the quenching effect of the second fluorophore. One molecule of reporter dye is liberated for each new molecule synthesized, and detection of the unquenched reporter dye provides the basis for quantitative interpretation of the data.

TaqMan® RT-PCR can be performed using commercially available equipment, such as, for example, ABI PRISM 7700™ Sequence Detection System™ (Perkin-Elmer-Applied Biosystems, Foster City, CA, USA), or Lightcycler (Roche Molecular Biochemicals, Mannheim, Germany). In a preferred embodiment, the 5' nuclease procedure is run on a real-time quantitative PCR device such as the ABI PRISM 7700™ Sequence Detection System™. The system consists of a thermocycler, laser,

charge-coupled device (CCD), camera and computer. The system amplifies samples in a 96-well format on a thermocycler. During amplification, laser-induced fluorescent signal is collected in real-time through fiber optics cables for all 96 wells, and detected at the CCD. The system includes software for running the instrument and for analyzing the data.

5 5'-Nuclease assay data are initially expressed as Ct, or the threshold cycle. As discussed above, fluorescence values are recorded during every cycle and represent the amount of product amplified to that point in the amplification reaction. The point when the fluorescent signal is first recorded as statistically significant is the threshold cycle (Ct).

10 To minimize errors and the effect of sample-to-sample variation, RT-PCR is usually performed using an internal standard. The ideal internal standard is expressed at a relatively constant level among different tissues, and is unaffected by the experimental treatment. RNAs frequently used to normalize patterns of gene expression are mRNAs for the housekeeping genes glyceraldehyde-3-phosphate-dehydrogenase (GAPDH) and  $\beta$ -actin.

A more recent variation of the RT-PCR technique is the real time quantitative PCR, which measures PCR product accumulation through a dual-labeled fluorogenic probe (i.e., TaqMan® probe). Real time PCR is compatible both with quantitative competitive PCR, where internal competitor for each target sequence is used for normalization, and with quantitative comparative PCR using a normalization gene contained within the sample, or a housekeeping gene for RT-PCR. For further details see, e.g. Held *et al.*, *Genome Research* 6:986-994 (1996).

25 The steps of a representative protocol for profiling gene expression using fixed, paraffin-embedded tissues as the RNA source, including mRNA isolation, purification, primer extension and amplification are given in various published journal articles {for example: T.E. Godfrey *et al.* *J. Molec. Diagnostics* 2: 84-91 [2000]; K. Specht *et al.*, *Am. J. Pathol.* 158: 419-29 [2001]}. Briefly, a representative process starts with cutting about 10  $\mu$ m thick sections of paraffin-embedded tumor tissue samples. The RNA is then extracted, and protein and DNA are removed. After analysis of the RNA concentration, RNA repair and/or amplification steps may be included, if necessary, and RNA is reverse transcribed using gene specific promoters followed by RT-PCR.



b. MassARRAY System

In the MassARRAY-based gene expression profiling method, developed by Sequenom, Inc. (San Diego, CA) following the isolation of RNA and reverse transcription, the obtained cDNA is spiked with a synthetic DNA molecule (competitor), which matches the targeted cDNA region in all positions, except a single base, and serves as an internal standard. The cDNA/competitor mixture is PCR amplified and is subjected to a post-PCR shrimp alkaline phosphatase (SAP) enzyme treatment, which results in the dephosphorylation of the remaining nucleotides. After inactivation of the alkaline phosphatase, the PCR products from the competitor and cDNA are subjected to primer extension, which generates distinct mass signals for the competitor- and cDNA-derives PCR products. After purification, these products are dispensed on a chip array, which is pre-loaded with components needed for analysis with matrix-assisted laser desorption ionization time-of-flight mass spectrometry (MALDI-TOF MS) analysis. The cDNA present in the reaction is then quantified by analyzing the ratios of the peak areas in the mass spectrum generated. For further details see, e.g. Ding and Cantor, *Proc. Natl. Acad. Sci. USA* 100:3059-3064 (2003).

c. Other PCR-based Methods

Further PCR-based techniques include, for example, differential display (Liang and Pardee, *Science* 257:967-971 (1992)); amplified fragment length polymorphism (iAFLP) (Kawamoto et al., *Genome Res.* 12:1305-1312 (1999)); BeadArray™ technology (Illumina, San Diego, CA; Oliphant et al., *Discovery of Markers for Disease* (Supplement to *Biotechniques*), June 2002; Ferguson et al., *Analytical Chemistry* 72:5618 (2000)); BeadsArray for Detection of Gene Expression (BADGE), using the commercially available Luminex<sup>100</sup> LabMAP system and multiple color-coded microspheres (Luminex Corp., Austin, TX) in a rapid assay for gene expression (Yang et al., *Genome Res.* 11:1888-1898 (2001)); and high coverage expression profiling (HiCEP) analysis (Fukumura et al., *Nucl. Acids. Res.* 31(16) e94 (2003)).

3. Microarrays

Differential gene expression can also be identified, or confirmed using the microarray technique. Thus, the expression profile of breast cancer-associated genes can be measured in either fresh or paraffin-embedded tumor tissue, using microarray technology. In this method, polynucleotide sequences of interest (including cDNAs and oligonucleotides) are plated, or arrayed, on a microchip substrate. The arrayed

sequences are then hybridized with specific DNA probes from cells or tissues of interest. Just as in the RT-PCR method, the source of mRNA typically is total RNA isolated from human tumors or tumor cell lines, and corresponding normal tissues or cell lines. Thus RNA can be isolated from a variety of primary tumors or tumor cell lines. If the source  
5 of mRNA is a primary tumor, mRNA can be extracted, for example, from frozen or archived paraffin-embedded and fixed (e.g. formalin-fixed) tissue samples, which are routinely prepared and preserved in everyday clinical practice.

In a specific embodiment of the microarray technique, PCR amplified inserts of cDNA clones are applied to a substrate in a dense array. Preferably at least 10,000  
10 nucleotide sequences are applied to the substrate. The microarrayed genes, immobilized on the microchip at 10,000 elements each, are suitable for hybridization under stringent conditions. Fluorescently labeled cDNA probes may be generated through incorporation of fluorescent nucleotides by reverse transcription of RNA extracted from tissues of interest. Labeled cDNA probes applied to the chip hybridize with specificity to each  
15 spot of DNA on the array. After stringent washing to remove non-specifically bound probes, the chip is scanned by confocal laser microscopy or by another detection method, such as a CCD camera. Quantitation of hybridization of each arrayed element allows for assessment of corresponding mRNA abundance. With dual color fluorescence, separately labeled cDNA probes generated from two sources of RNA are hybridized  
20 pairwise to the array. The relative abundance of the transcripts from the two sources corresponding to each specified gene is thus determined simultaneously. The miniaturized scale of the hybridization affords a convenient and rapid evaluation of the expression pattern for large numbers of genes. Such methods have been shown to have the sensitivity required to detect rare transcripts, which are expressed at a few copies per  
25 cell, and to reproducibly detect at least approximately two-fold differences in the expression levels (Schena *et al.*, *Proc. Natl. Acad. Sci. USA* 93(2):106-149 (1996)). Microarray analysis can be performed by commercially available equipment, following manufacturer's protocols, such as by using the Affymetrix GenChip technology, or Agilent's microarray technology.

30 The development of microarray methods for large-scale analysis of gene expression makes it possible to search systematically for molecular markers of cancer classification and outcome prediction in a variety of tumor types.

#### 4. Serial Analysis of Gene Expression (SAGE)

Serial analysis of gene expression (SAGE) is a method that allows the simultaneous and quantitative analysis of a large number of gene transcripts, without the need of providing an individual hybridization probe for each transcript. First, a short sequence tag (about 10-14 bp) is generated that contains sufficient information to uniquely identify a transcript, provided that the tag is obtained from a unique position within each transcript. Then, many transcripts are linked together to form long serial molecules, that can be sequenced, revealing the identity of the multiple tags simultaneously. The expression pattern of any population of transcripts can be quantitatively evaluated by determining the abundance of individual tags, and identifying the gene corresponding to each tag. For more details see, e.g. Velculescu *et al.*, *Science* 270:484-487 (1995); and Velculescu *et al.*, *Cell* 88:243-51 (1997).

#### 5. Gene Expression Analysis by Massively Parallel Signature Sequencing (MPSS)

This method, described by Brenner *et al.*, *Nature Biotechnology* 18:630-634 (2000), is a sequencing approach that combines non-gel-based signature sequencing with *in vitro* cloning of millions of templates on separate 5 µm diameter microbeads. First, a microbead library of DNA templates is constructed by *in vitro* cloning. This is followed by the assembly of a planar array of the template-containing microbeads in a flow cell at a high density (typically greater than  $3 \times 10^6$  microbeads/cm<sup>2</sup>). The free ends of the cloned templates on each microbead are analyzed simultaneously, using a fluorescence-based signature sequencing method that does not require DNA fragment separation. This method has been shown to simultaneously and accurately provide, in a single operation, hundreds of thousands of gene signature sequences from a yeast cDNA library.

#### 25 Immunohistochemistry

Immunohistochemistry methods are also suitable for detecting the expression levels of the prognostic markers of the present invention. Thus, antibodies or antisera, preferably polyclonal antisera, and most preferably monoclonal antibodies specific for each marker are used to detect expression. The antibodies can be detected by direct labeling of the antibodies themselves, for example, with radioactive labels, fluorescent labels, hapten labels such as, biotin, or an enzyme such as horse radish peroxidase or alkaline phosphatase. Alternatively, unlabeled primary antibody is used in conjunction with a labeled secondary antibody, comprising antisera, polyclonal antisera or a

monoclonal antibody specific for the primary antibody. Immunohistochemistry protocols and kits are well known in the art and are commercially available.

### Proteomics

The term "proteome" is defined as the totality of the proteins present in a sample (e.g. tissue, organism, or cell culture) at a certain point of time. Proteomics includes, among other things, study of the global changes of protein expression in a sample (also referred to as "expression proteomics"). Proteomics typically includes the following steps: (1) separation of individual proteins in a sample by 2-D gel electrophoresis (2-D PAGE); (2) identification of the individual proteins recovered from the gel, e.g. by mass spectrometry or N-terminal sequencing, and (3) analysis of the data using bioinformatics. Proteomics methods are valuable supplements to other methods of gene expression profiling, and can be used, alone or in combination with other methods, to detect the products of the prognostic markers of the present invention.

### 8. General Description of mRNA Isolation, Purification and Amplification

The steps of a representative protocol for profiling gene expression using fixed, paraffin-embedded tissues as the RNA source, including mRNA isolation, purification, primer extension and amplification are given in various published journal articles (for example: T.E. Godfrey et al. *J. Molec. Diagnostics* 2: 84-91 [2000]; K. Specht et al., *Am. J. Pathol.* 158: 419-29 [2001]). Briefly, a representative process starts with cutting about 10  $\mu$ m thick sections of paraffin-embedded tumor tissue samples. The RNA is then extracted, and protein and DNA are removed. After analysis of the RNA concentration, RNA repair and/or amplification steps may be included, if necessary, and RNA is reverse transcribed using gene specific promoters followed by RT-PCR. Finally, the data are analyzed to identify the best treatment option(s) available to the patient on the basis of the characteristic gene expression pattern identified in the tumor sample examined.

### 9. EGFR Inhibitors

The epidermal growth factor receptor (EGFR) family (which includes EGFR, erb-B2, erb-B3, and erb-B4) is a family of growth factor receptors that are frequently activated in epithelial malignancies. Thus, the epidermal growth factor receptor (EGFR) is known to be active in several tumor types, including, for example, ovarian cancer, pancreatic cancer, non-small cell lung cancer {NSCLC}, breast cancer, and head and neck cancer. Several EGFR inhibitors, such as ZD1839 (also known as gefitinib or

Iressa); and OSI774 (Erlotinib, Tarceva™), are promising drug candidates for the treatment of cancer.

Iressa, a small synthetic quinazoline, competitively inhibits the ATP binding site of EGFR, a growth-promoting receptor tyrosine kinase, and has been in Phase III clinical trials for the treatment of non-small-cell lung carcinoma. Another EGFR inhibitor, [agr]cyano-[bgr]methyl-*N*-[(trifluoromethoxy)phenyl]-propenamide (LFM-A12), has been shown to inhibit the proliferation and invasiveness of human breast cancer cells.

Cetuximab is a monoclonal antibody that blocks the EGFR and EGFR-dependent cell growth. It is currently being tested in phase III clinical trials.

10 Tarceva™ has shown promising indications of anti-cancer activity in patients with advanced ovarian cancer, and non-small cell lung and head and neck carcinomas.

The present invention provides valuable molecular markers that predict whether a patient who is a candidate for treatment with an EGFR inhibitor drug is likely to respond to treatment with an EGFR inhibitor.

15 The listed examples of EGFR inhibitors represent both small organic molecule and anti-EGFR antibody classes of drugs. The findings of the present invention are equally applicable to other EGFR inhibitors, including, without limitation, antisense molecules, small peptides, etc.

Further details of the invention will be apparent from the following non-limiting Example.

#### Example

##### A Phase II Study of Gene Expression in non-small cell lung cancer (NSCL)

A gene expression study was designed and conducted with the primary goal to molecularly characterize gene expression in paraffin-embedded, fixed tissue samples of NSCLC patients who did or did not respond to treatment with an EGFR inhibitor. The results are based on the use of one EGFR inhibitor.

#### Study design

Molecular assays were performed on paraffin-embedded, formalin-fixed tumor tissues obtained from 39 individual patients diagnosed with NSCLC. Patients were included in the study only if histopathologic assessment, performed as described in the Materials and Methods section, indicated adequate amounts of tumor tissue. All patients had a history of prior treatment for NSCLC, and the nature of pretreatment varied.

### Materials and Methods

Each representative tumor block was characterized by standard histopathology for diagnosis, semi-quantitative assessment of amount of tumor, and tumor grade. A total of 6 sections (10 microns in thickness each) were prepared and placed in two Costar Brand Microcentrifuge Tubes (Polypropylene, 1.7 mL tubes, clear; 3 sections in each tube). If the tumor constituted less than 30% of the total specimen area, the sample may have been dissected by the pathologist, putting the tumor tissue directly into the Costar tube.

If more than one tumor block was obtained as part of the surgical procedure, the block most representative of the pathology was used for analysis.

### Gene Expression Analysis

mRNA was extracted and purified from fixed, paraffin-embedded tissue samples, and prepared for gene expression analysis as described above.

Molecular assays of quantitative gene expression were performed by RT-PCR, using the ABI PRISM 7900™ Sequence Detection System™ (Perkin-Elmer-Applied Biosystems, Foster City, CA, USA). ABI PRISM 7900™ consists of a thermocycler, laser, charge-coupled device (CCD), camera and computer. The system amplifies samples in a 384-well format on a thermocycler. During amplification, laser-induced fluorescent signal is collected in real-time through fiber optics cables for all 384 wells, and detected at the CCD. The system includes software for running the instrument and for analyzing the data.

### Analysis and Results

Tumor tissue was analyzed for 187 cancer-related genes and 5 reference genes. The threshold cycle (CT) values for each patient were normalized based on the mean of all genes for that particular patient. Clinical outcome data were available for all patients.

Outcomes were evaluated in two ways, each breaking patients into two groups with respect to response.

One analysis categorized complete or partial response [RES] as one group, and stable disease (min of 3 months) or progressive disease as the other group [NR]. The second analysis grouped patients with respect to clinical benefit, where clinical benefit was defined as partial response, complete response, or stable disease at 3 months.

Response (partial response and complete response) was determined by the Response Evaluation Criteria In Solid Tumors (RECIST criteria). Stable disease was designated as the absence of aggressive disease for 3 or more months.

*Analysis of patients by t-test*

5                    Analysis was performed on all 39 treated patients to determine the relationship between normalized gene expression and the binary outcomes of RES (response) or NR (non-response). A t test was performed on the group of patients classified as RES or NR and the p-values for the differences between the groups for each gene were calculated. The following table lists the 39 genes for which the p-value for the  
10 differences between the groups was  $<0.15$ . In this case response was defined as a partial or complete response, the former being  $>50\%$  shrink of the tumor and the latter being disappearance of the tumor. As shown, response was identified in 7 patients.

Table 1

	Mean No Response	Mean Response	P	Valid N No Response	Valid N Response
DHFR	-2.35	-1.55	0.0095	32	7
TITF1	-4.64	-2.53	0.0108	32	7
B2M	-0.19	0.81	0.0126	32	7
MUC1	-1.13	0.49	0.0201	32	7
XIAP	-3.63	-2.98	0.0212	32	7
Furin	-3.64	-4.70	0.0333	32	7
STAT5B	-2.21	-2.71	0.0482	32	7
RRM1	-4.09	-3.52	0.0484	32	7
DPYD	-0.67	-0.17	0.0509	32	7
KRT17	-4.02	-5.90	0.0513	32	7
PDGFRa	-1.92	-3.16	0.0521	32	7
TIMP2	1.51	0.89	0.0522	32	7
EPHX1	-1.23	-0.31	0.0551	32	7
Hepsin	-7.02	-6.48	0.0617	32	7
E2F1	-5.09	-4.28	0.0620	32	7
HNF3A	-4.27	-3.03	0.0688	32	7
GPX2	-4.65	-6.30	0.0784	32	7
mGST1	-1.05	-0.08	0.0872	32	7
LAMC2	-3.67	-4.69	0.0874	32	7
STAT3	-0.01	0.42	0.1045	32	7
IGF1R	-3.99	-4.62	0.1051	32	7
WISP1	-5.23	-5.97	0.1065	32	7
p53R2	-2.79	-2.22	0.1125	32	7
EGFR	-2.25	-1.43	0.1151	32	7
cdc25A	-5.40	-5.92	0.1205	32	7
RPLPO	1.39	1.09	0.1217	32	7
TAGLN	0.58	-0.51	0.1255	32	7
YB-1	0.14	-0.11	0.1257	32	7
CKAP4	-1.37	-1.89	0.1262	32	7
Kitlng	-3.62	-2.86	0.1291	32	7
HER2	-2.22	-1.33	0.1313	32	7
hCRA a	-5.86	-6.48	0.1332	32	7
Surfact A1	-1.00	2.24	0.1341	32	7
LMYC	-4.62	-4.20	0.1354	32	7
BTC	-6.16	-5.50	0.1390	32	7
PGK1	-1.18	-0.75	0.1400	32	7
MTA1	-3.48	-3.05	0.1451	32	7
FOLR1	-3.40	-1.81	0.1455	32	7
Claudin 4	-1.66	-0.94	0.1494	32	7

In the foregoing Table 1, lower mean expression  $C_t$  values indicate lower expression and, conversely, higher mean expression values indicate higher expression of a particular gene. Thus, for example, expression of the STAT5B gene was higher in patients who did not respond to EGFR inhibitor treatment than in patients that did respond to the treatment. Accordingly, elevated expression of STAT5B is an indication



of poor outcome of treatment with an EGFR inhibitor. Phrasing it differently, if the STAT5B gene is over-expressed in a tissue sample obtained from the cancer of a NSCLC patient, treatment with an EGFR inhibitor is not likely to work, therefore, the physician is well advised to look for alternative treatment options.

5 Accordingly, the elevated expression of Furin; STAT5B; KRT17; PDGFR $\alpha$ ; TIMP2; GPX2; LAMC2; IGF1R; WISP1; cdc25A; RPLPO; TAGLN; YB-1; CKAP4; or hCRA in a tumor is an indication that the patient is not likely to respond well to treatment with an EGFR inhibitor. On the other hand, elevated expression of DHFR; TITF1; B2M; MUC1; XIAP; RRM; DPYD; EPHX1; Hepsin; E2F1; HNF3A; mGST1;  
10 STAT3; p53R2; EGFR; Kitln; HER2; Surfact A; LMYC; BTC; PGK1; MTA1; FOLR1, or Claudin 4 is an indication that the patient is likely to respond to EGFR inhibitor treatment.

In Table 2 below the binary analysis was carried with respect to clinical benefit, defined as either partial response, complete response, or stable disease. As shown, 12  
15 patients met these criteria for clinical benefit.

Table 2

	Mean No Benefit	Mean Benefit	p	Valid N No Benefit	Valid N Benefit
hCRA a	-5.63	-6.75	0.0005	27	12
LAMC2	-3.40	-4.88	0.0017	27	12
B2M	-0.32	0.68	0.0022	27	12
STAT5B	-2.15	-2.65	0.0133	27	12
LMYC	-4.72	-4.16	0.0156	27	12
CKAP4	-1.27	-1.89	0.0271	27	12
TAGLN	0.77	-0.48	0.0305	27	12
Furin	-3.56	-4.44	0.0341	27	12
DHFR	-2.37	-1.84	0.0426	27	12
CCND3	-3.76	-3.06	0.0458	27	12
TITF1	-4.69	-3.30	0.0462	27	12
FUS	-2.15	-2.56	0.0496	27	12
FLT1	-6.01	-6.58	0.0501	27	12
TIMP2	1.55	1.05	0.0583	27	12
RASSF1	-3.23	-3.64	0.0619	27	12
WISP1	-5.15	-5.85	0.0657	27	12
VEGFC	-7.09	-7.35	0.0738	27	12
GPX2	-4.52	-5.91	0.0743	27	12
CTSH	-0.71	0.20	0.0743	27	12
AKAP12	-2.32	-3.26	0.0765	27	12
APC	-3.19	-2.77	0.0792	27	12
RPL19	2.06	1.75	0.0821	27	12
IGFBP6	-3.86	-4.79	0.0920	27	12
Bak	-4.01	-3.65	0.0985	27	12
Cyclin G1	-7.18	-7.01	0.0997	27	12
Hepsin	-7.04	-6.65	0.1067	27	12

MMP2	0.28	-0.77	0.1080	27	12
XIAP	-3.63	-3.25	0.1161	27	12
MUC1	-1.12	-0.20	0.1198	27	12
STMY3	-2.67	-3.67	0.1246	27	12
PDGFRb	-2.26	-3.01	0.1300	27	12
GSTp	0.48	0.05	0.1335	27	12
p53R2	-2.82	-2.38	0.1337	27	12
DPYD	-0.67	-0.36	0.1385	27	12
IGFBP3	-1.61	-2.31	0.1399	27	12
MMP9	-3.29	-4.07	0.1497	27	12

As shown in the above Table 2, 6 genes correlated with clinical benefit with  $p < 0.1$ . Expression of hCRA a; LAMC2; STAT5B; CKAP4; TAGLN; Furin; FUS; FLT1; TIMP2; RASSF1; WISP1; VEGFC; GPX2; AKAP12; RPL19; IGFBP6; MMP2; STMY3; PDGFRb; GSTp; IGFBP3; or MMP9 was higher in patients who did not respond to anti-EGFR treatment. Thus, greater expression of these genes is an indication that patients are unlikely to benefit from anti-EGFR treatment. Conversely, expression of B2M; LMYC; DHFR; CCND3; TITF1; CTSB; APC; Bak; CyclinG1; Hepsin1; XIAP; MUC1; p53R2, or DPYD was higher in patients who did respond to anti-EGFR treatment. Greater expression of these genes indicates that patients are likely to benefit from anti-EGFR treatment.

In addition to the above analysis, robust logistic regression (David W. Hosmer, Jr. and Stanley Lemeshow [2000] *Applied Logistic Regression*, Wiley, N.Y.; Peter J. Huber [1981] *Robust Statistics*, John Wiley & Sons, N.Y.) was performed to assess the relationship between response and EMP1 reference normalized gene expression level. A robust logistic estimation procedure based on Huber's M-estimate<sup>2</sup> was used to obtain an estimate of the probability of response as a function of EMP1 were obtained. Based on this analysis, it is estimated that a patient has less than a 10% probability of response for reference normalized EMP1 gene expression levels greater than -1.43. Therefore increased expression of the gene EMP1 decreases the likelihood of response to chemotherapy.

It is emphasized that while the data presented herein were obtained using tissue samples from NSCLC, the conclusions drawn from the tissue expression profiles are equally applicable to other cancers, such as, for example, colon cancer, ovarian cancer, pancreatic cancer, breast cancer, and head and neck cancer.

All references cited throughout the specification are hereby expressly incorporated by reference.

While the invention has been described with emphasis upon certain specific embodiments, it is be apparent to those skilled in the art that variations and modification in the specific methods and techniques are possible. Accordingly, this invention includes all modifications encompassed within the spirit and scope of the invention as defined by

5 the following claims.

### TABLE 3

[illegible]

Gene	Accession	SEQ ID NO	Sequence
RPL19	NM_000981	SEQ ID NO:45	CCACAAGCTGAAGGCAGACAAAGCCCGCAAGAAGCTCCTGGCTGACCCAGGCTGAGGCCCGCAGGTCTAAGACCAAGGAAGCAGCGC
RPLPO	NM_001002	SEQ ID NO:46	CCATTCTATCATCAACGGGTACAAACGAGTCCTGGCTTGCTGTGGAGACGGATTACACCTTCCACATTGCTGA
RRM1	NM_001033	SEQ ID NO:47	GGGCTACTGGCAGCTACATTGCTGGACTAATGGCAATTCGAATGGCCCTTGACCGATGCTGAGAG
STAT3	NM_003150	SEQ ID NO:48	TCACATGCCACCTTTGGTGTTCATAAATCTCTGGAGAGATTGACCAGCAGTATAGCCGCTTCCCTGCAAG
STAT5B	NM_012448	SEQ ID NO:49	CCAGTGGTGGTGATCGTTTCATGGCAGCCAGGACAACAATGGACGGCCACTGTTCTCTGGACAATGCTTTTGC
STMY3	NM_005940	SEQ ID NO:50	CCTGGAGGCTGCAACATACCTCAATCCTGTCCAGGCCGGATCCTCTGAAGCCCTTTTCGACGCACTGCTATCCTCCAAAGCCATTGTA
Surfact A1	NM_005411	SEQ ID NO:51	TGGCCCTCAACCTCATCTTGATGGCAGCCTCTGGTGTGTGCGAAGTGAAGGAGCGTTTGTGTGGAAG
TAGLN	NM_003186	SEQ ID NO:52	GATGGAGCAGGTGGCTCAGTTCTGAAGGGGGCTGAGGACTCTGGGTCTCATCAAGACTGACATGTTCCAGACT
TIMP2	NM_003255	SEQ ID NO:53	TCACCCCTGTGACTTCATCGTCCCTGGGACACCCCTGAGCACCCACCCAGAACGAGAGCCTGAACCCACA
TITF1	NM_003317	SEQ ID NO:54	CGACTCCGTTCTCAGTGTCTGACATCTTGAGTCCCCTGGAGGAAAGCTACAAGAAAGTGGGCATGGAGGG
VEGFC	NM_005429	SEQ ID NO:55	CCTCAGCAAGAGGTTATTTGAAATTACAGTCCCTCTCTCAAGGCCCCCAACCCAGTAACAATCAGTTTTGCCAATCACAATT
WISP1	NM_003882	SEQ ID NO:56	AGAGGCATCCATGAACCTTCACACTTGGGGGTGCATCAGCACACGCTCTCTATCAACCCCAAGTACTGTGGAGTTTG
XIAP	NM_001167	SEQ ID NO:57	GCAGTTGGAAGACACACAGGAAAGTATCCCCAAATTGCAGATTTATCAACGGCTTTTATCTTGAAAAATAGTCCACGCA
YB-1	NM_004559	SEQ ID NO:58	AGACTGTGGAGTTTGATGTTGTTGAAGGAGAAAGGGTGCAGGAGCAAGCAATGTTACAGGTCCTCTGGTGGTGTTC

TABLE 4

Gene	Accession	Name	SEQ ID NO	Sequence	Length
AKAP12	NM_005100	S3499/AKAP12.f2	SEQ ID NO:59	TAGAGAGCCCCTGACAATCC	20
AKAP12	NM_005100	S3500/AKAP12.r2	SEQ ID NO:60	GGTTGGTCTTGGAAAGAGGA	20
AKAP12	NM_005100	S3502/AKAP12.p2	SEQ ID NO:61	TGGCTCTAGCTCCTGATGAAGCCTC	25
APC	NM_000038	S0022/APC.f4	SEQ ID NO:62	GGACAGCAGGAATGTGTTTC	20
APC	NM_000038	S0024/APC.r4	SEQ ID NO:63	ACCCACTCGATTTGTTTCTG	20
APC	NM_000038	S4888/APC.p4	SEQ ID NO:64	CATTGGCTCCCCGTGACCTGTA	22
B2M	NM_004048	S1355/B2M.f4	SEQ ID NO:65	GGGATCGAGACATGTAAGCA	20
B2M	NM_004048	S1356/B2M.r4	SEQ ID NO:66	TGGAATTCATCCAATCCAAAT	21
B2M	NM_004048	S4932/B2M.p4	SEQ ID NO:67	CGGCATCTTCAAACCTCCATGATG	24
Bak	NM_001188	S0037/Bak.f2	SEQ ID NO:68	CCATTCCCACCATTTCTACCT	20
Bak	NM_001188	S0039/Bak.r2	SEQ ID NO:69	GGGAACATAGACCCACCAAT	20
Bak	NM_001188	S4724/Bak.p2	SEQ ID NO:70	ACACCCAGACGTCCTGGCCT	21
BTC	NM_001729	S1216/BTC.f3	SEQ ID NO:71	AGGGAGATGCCGCTTCGT	18
BTC	NM_001729	S1217/BTC.r3	SEQ ID NO:72	CTCTCACACCTTGCTCCAATGTA	23
BTC	NM_001729	S4844/BTC.p3	SEQ ID NO:73	CCTTCATCACAGACACAGAGGGCG	25
CCND3	NM_001760	S2799/CCND3.f1	SEQ ID NO:74	CCTCTGTGCTACAGATTATACCTTTGC	27
CCND3	NM_001760	S2800/CCND3.r1	SEQ ID NO:75	CACCTGCAGCCCCAATGCT	18
CCND3	NM_001760	S4966/CCND3.p1	SEQ ID NO:76	TACCCGCCATCCATGATCGCCA	22
cdc25A	NM_001789	S0070/cdc25A.f4	SEQ ID NO:77	TCTTGCTGGCTACGCCTCTT	20
cdc25A	NM_001789	S0072/cdc25A.r4	SEQ ID NO:78	CTGCATTGTGGCAGCTTCTG	21
cdc25A	NM_001789	S4989/cdc25A.p4	SEQ ID NO:79	TGTCCCTGTTAGACGTCCTCCGTCCATA	28
CKAP4	NM_006825	S2381/CKAP4.f2	SEQ ID NO:80	AAAGCCTCAGTCAGCCAAGT	20
CKAP4	NM_006825	S2382/CKAP4.r2	SEQ ID NO:81	AACCAAACGTCCACAGCAG	20
CKAP4	NM_006825	S4892/CKAP4.p2	SEQ ID NO:82	TCTTGAGCATTTTCAAGTCCGCCT	24
Claudin 4	NM_001305	S2209/Claudi.f2	SEQ ID NO:83	GGCTGCTTTGCTGCAACTG	19
Claudin 4	NM_001305	S2210/Claudi.r2	SEQ ID NO:84	CAGAGCGGGCAGCAGAATA	19
Claudin 4	NM_001305	S4781/Claudi.p2	SEQ ID NO:85	CGCACAGACAAGCCTTACTCCGCC	24
CTSH	NM_004390	S2363/CTSH.f2	SEQ ID NO:86	GCAAGTTCCAACCTGGAAAG	20
CTSH	NM_004390	S2364/CTSH.r2	SEQ ID NO:87	CATCGCTTCTCGTCATAGA	20
CTSH	NM_004390	S4854/CTSH.p2	SEQ ID NO:88	TGGCTACATCCTTGACAAAGCCGA	24
Cyclin G1	NM_004060	S1946/Cyclin.f1	SEQ ID NO:89	CTCCTCTTGCTACGAGTCC	20
Cyclin G1	NM_004060	S1947/Cyclin.r1	SEQ ID NO:90	CTCACCTCACCCACGATA	19
Cyclin G1	NM_004060	S4755/Cyclin.p1	SEQ ID NO:91	CCTCTCCTCGTAGGCCTCTCGGAT	24
DHFR	NM_000791	S0097/DHFR.f2	SEQ ID NO:92	TTGCTATAACTAAGTGCTTCTCCAAGA	27
DHFR	NM_000791	S0099/DHFR.r2	SEQ ID NO:93	GTGGAATGGCAGCTCACTGTAG	22
DHFR	NM_000791	S4997/DHFR.p2	SEQ ID NO:94	CCCAACTGAGTCCCCAGCACCT	22
DPYD	NM_000110	S0100/DPYD.f2	SEQ ID NO:95	AGGACGCAAGGAGGGTTTG	19
DPYD	NM_000110	S0102/DPYD.r2	SEQ ID NO:96	GATGTCGCGCGAGTCCTTACT	21
DPYD	NM_000110	S4998/DPYD.p2	SEQ ID NO:97	CAGTGCCTACAGTCTCGAGTCTGCCAGTG	29
E2F1	NM_005225	S3063/E2F1.f3	SEQ ID NO:98	ACTCCCTCTACCCCTTGAGCA	20
E2F1	NM_005225	S3064/E2F1.r3	SEQ ID NO:99	CAGGCCTCAGTTCTTTCAGT	20
E2F1	NM_005225	S4821/E2F1.p3	SEQ ID NO:100	CAGAAGAACAGCTCAGGGACCCCT	24
EGFR	NM_005228	S0103/EGFR.f2	SEQ ID NO:101	TGTCGATGGACTTCCAGAAC	20
EGFR	NM_005228	S0105/EGFR.r2	SEQ ID NO:102	ATTGGGACAGCTTGGATCA	19
EGFR	NM_005228	S4999/EGFR.p2	SEQ ID NO:103	CACCTGGGCGAGCTGCCAA	18
EMP1	NM_001423	S2796/EMP1.f1	SEQ ID NO:104	GCTAGTACTTTGATGCTCCCTTGAT	25
EMP1	NM_001423	S2797/EMP1.r1	SEQ ID NO:105	GAACAGCTGGAGGCCAAGTC	20
EMP1	NM_001423	S4964/EMP1.p1	SEQ ID NO:106	CCAGAGAGCCTCCTCGACCCA	22
EPHX1	NM_000120	S1865/EPHX1.f2	SEQ ID NO:107	ACCGTAGGCTCTGCTCTGAA	20
EPHX1	NM_000120	S1866/EPHX1.r2	SEQ ID NO:108	TGGTCCAGGTGGAAAACTTC	20
EPHX1	NM_000120	S4754/EPHX1.p2	SEQ ID NO:109	AGGCAGCCAGACCCACAGGA	20
FLT1	NM_002019	S1732/FLT1.f3	SEQ ID NO:110	GGCTCCCGAATCTATCTTTG	20
FLT1	NM_002019	S1733/FLT1.r3	SEQ ID NO:111	TCCCACAGCAATACTCCGTA	20
FLT1	NM_002019	S4922/FLT1.p3	SEQ ID NO:112	CTACAGCACCAAGAGCGACGTGTG	24
FOLR1	NM_016730	S2406/FOLR1.f1	SEQ ID NO:113	GAACGCCAAGCACCAAG	19
FOLR1	NM_016730	S2407/FOLR1.r1	SEQ ID NO:114	CCAGGGTCGACACTGCTCAT	20
FOLR1	NM_016730	S4912/FOLR1.p1	SEQ ID NO:115	AAGCCAGGCCCCGAGGACAGTT	23
Furin	NM_002569	S2233/Furin.f1	SEQ ID NO:116	AAGTCCTCGATACGCACTATAGCA	24
Furin	NM_002569	S2234/Furin.r1	SEQ ID NO:117	CTGGCATGTGGCACATGAG	19
Furin	NM_002569	S4933/Furin.p1	SEQ ID NO:118	CCCGGATGGTCTCCACGTCAT	21
FUS	NM_004960	S2936/FUS.f1	SEQ ID NO:119	GGATAATTCAGACAACAACCATCT	26
FUS	NM_004960	S2937/FUS.r1	SEQ ID NO:120	TGAAGTAATCAGCCACAGACTCAAT	25
FUS	NM_004960	S4801/FUS.p1	SEQ ID NO:121	TCAATTGTAACATTCTACCCAGGCCTTG	29
GPX2	NM_002083	S2514/GPX2.f2	SEQ ID NO:122	CACACAGATCTCCTACTCCATCCA	24
GPX2	NM_002083	S2515/GPX2.r2	SEQ ID NO:123	GGTCCAGCAGTGTCTCTGAA	21
GPX2	NM_002083	S4936/GPX2.p2	SEQ ID NO:124	CATGCTGCATCCTAAGGCTCCTCAGG	26
GSTp	NM_000852	S0136/GSTp.f3	SEQ ID NO:125	GAGACCCTGCTGTCCAGAA	20
GSTp	NM_000852	S0138/GSTp.r3	SEQ ID NO:126	GGTTGTAGTCAGCGAAGGAGATC	23
GSTp	NM_000852	S5007/GSTp.p3	SEQ ID NO:127	TCCACAATGAAGTCTTGCTCCCT	26
hCRA a	U78556	S2198/hCRA a.f2	SEQ ID NO:128	TGACACCCTTACCTTCTGAGAA	23

GSTp	NM_000852	S5007/GSTp.p3	SEQ ID NO:127	TCCCACAATGAAGGTCTTGCCTCCCT	26
hCRA a	U78556	S2198/hCRA a.f2	SEQ ID NO:128	TGACACCCTTACCTTCCTGAGAA	23
hCRA a	U78556	S2199/hCRA a.r2	SEQ ID NO:129	AAAAACACGAGTCAAAAATAGAAGTCACT	29
hCRAa	U78556	S4928/hCRA a.p2	SEQ ID NO:130	TCTGCTTTCCGCGCTCCCAGG	21
Hepsin	NM_002151	S2269/Hepsin.f1	SEQ ID NO:131	AGGCTGCTGGAGGTCACTCTC	20
Hepsin	NM_002151	S2270/Hepsin.r1	SEQ ID NO:132	CTTCCTGCGGCCACAGTCT	19
Hepsin	NM_002151	S4831/Hepsin.p1	SEQ ID NO:133	CCAGAGGCCGTTTCTTGGCCG	21
HER2	NM_004448	S0142/HER2.f3	SEQ ID NO:134	CGGTGTGAGAAGTGCAGCAA	20
HER2	NM_004448	S0144/HER2.r3	SEQ ID NO:135	CCTCTCGCAAGTGCTCCAT	19
HER2	NM_004448	S4729/HER2.p3	SEQ ID NO:136	CCAGACCATAGCACACTCGGGCAC	24
HNF3A	NM_004496	S0148/HNF3A.f1	SEQ ID NO:137	TCCAGGATGTTAGGAAGTGTGAAG	24
HNF3A	NM_004496	S0150/HNF3A.r1	SEQ ID NO:138	GCGTGTCTGCGTAGTAGCTGTT	22
HNF3A	NM_004496	S5008/HNF3A.p1	SEQ ID NO:139	AGTCGCTGGTTTCATGCCCTTCCA	24
IGFIR	NM_000875	S1249/IGFIR.f3	SEQ ID NO:140	GCATGGTAGCCGAAGATTTCA	21
IGFIR	NM_000875	S1250/IGFIR.r3	SEQ ID NO:141	TTTCCGGTAATAGTCTGTCTCATAGATATC	30
IGFIR	NM_000875	S4895/IGFIR.p3	SEQ ID NO:142	CGCGTCATACCAAAATCTCCGATTTGA	28
IGFBP3	NM_000598	S0157/IGFBP3.f3	SEQ ID NO:143	ACGCACCGGGTGTCTGA	17
IGFBP3	NM_000598	S0159/IGFBP3.r3	SEQ ID NO:144	TGCCCTTTCTTGATGATGATTATC	24
IGFBP3	NM_000598	S501 1/IGFBP3.p3	SEQ ID NO:145	CCCAAGTTCACCCCTCCATTCA	24
IGFBP6	NM_002178	S2335/IGFBP6.f1	SEQ ID NO:146	TGAACCGCAGAGACCAACAG	20
IGFBP6	NM_002178	S2336/IGFBP6.r1	SEQ ID NO:147	GTCTTGGACACCCGCAAGT	20
IGFBP6	NM_002178	S4851/IGFBP6.p1	SEQ ID NO:148	ATCCAGGCACCTCTACCAAGCCCTC	25
Kitlmg	NM_000899	S0169/Kitlmg.f4	SEQ ID NO:149	GTCCCGGGATGGATGTT	18
Kitlmg	NM_000899	S0171/Kitlmg.r4	SEQ ID NO:150	GATCAGTCAAGCTGTCTGACAATTG	25
Kitlmg	NM_000899	S5012/Kitlmg.p4	SEQ ID NO:151	CATCTCGCTTATCCAACAATGACTTGGCA	29
KRT17	NM_000422	S0172/KRT17.f2	SEQ ID NO:152	CGAGGATTGGTTCTTCAGCAA	21
KRT17	NM_000422	S0174/KRT17.r2	SEQ ID NO:153	ACTCTGCACCAGCTCACTGTTG	22
KRT17	NM_000422	S5013/KRT17.p2	SEQ ID NO:154	CACCTCGCGGTTCACTTCTCTGT	24
LAMC2	NM_005562	S2826/LAMC2.f2	SEQ ID NO:155	ACTCAAGCGGAAATTGAAGCA	21
LAMC2	NM_005562	S2827/LAMC2.r2	SEQ ID NO:156	ACTCCCTGAAGCCGAGACACT	21
LAMC2	NM_005562	S4969/LAMC2.p2	SEQ ID NO:157	AGGTCTTATCAGCACAGTCTCCGCCCTC	28
LMYC	NM_012421	S2863/LMYC.f2	SEQ ID NO:158	CCCATCCAGAACAACCTGATTG	20
LMYC	NM_012421	S2864/LMYC.r2	SEQ ID NO:159	CTGCTTTCTATGCACCTTTTC	21
LMYC	NM_012421	S4973/LMYC.p2	SEQ ID NO:160	TGACCTCCATCCCTTTCACTTGAATG	26
mGST1	NM_020300	S2245/mGST1.f2	SEQ ID NO:161	ACGGATCTACCACACCAATTGC	21
mGST1	NM_020300	S2246/mGST1.r2	SEQ ID NO:162	TCCATATCCAACAAAAAATCAAAG	26
mGST1	NM_020300	S4830/mGST1.p2	SEQ ID NO:163	TTTGACACCCCTTCCCGACCA	22
MMP2	NM_004530	S1874/MMP2.f2	SEQ ID NO:164	CCATGATGGAGAGGCAGACA	20
MMP2	NM_004530	S1875/MMP2.r2	SEQ ID NO:165	GGAGTCCGTCTTACCGTCAA	21
MMP2	NM_004530	S5039/MMP2.p2	SEQ ID NO:166	CTGGGAGCATGGCGATGGATACC	24
MMP9	NM_004994	S0656/MMP9.f1	SEQ ID NO:167	GAGAACCAATCTCACCGACA	20
MMP9	NM_004994	S0657/MMP9.r1	SEQ ID NO:168	CACCCGAGTGTAAACCATAGC	20
MMP9	NM_004994	S4760/MMP9.p1	SEQ ID NO:169	ACAGGTATTCCTCTGCCAGCTGCC	24
MTA1	NM_004689	S2369/MTA1.f1	SEQ ID NO:170	CCGCCCTCACCTGAAGAGA	19
MTA1	NM_004689	S2370/MTA1.r1	SEQ ID NO:171	GGAATAAGTTAGCCGCGCTTCT	22
MTA1	NM_004689	S4855/MTA1.p1	SEQ ID NO:172	CCCAGTGTCCGCCAAGGAGCG	21
MUC1	NM_002456	S0782/MUC1.f2	SEQ ID NO:173	GGCCAGGATCTGTGGTGTA	20
MUC1	NM_002456	S0783/MUC1.r2	SEQ ID NO:174	CTCCACGTCGTGGACATTGA	20
MUC1	NM_002456	S4807/MUC1.p2	SEQ ID NO:175	CTCTGGCCTTCCGAGAAGGTACC	23
p53R2	AB036063	S2305/p53R2.f3	SEQ ID NO:176	CCCAGCTAGTGTTCCTCAGA	20
p53R2	AB036063	S2306/p53R2.r3	SEQ ID NO:177	CCGTAAGCCCTTCTCTATG	20
p53R2	AB036063	S4847/p53R2.p3	SEQ ID NO:178	TCGGCCAGCTTTTCCAATCTTTG	24
PDGFRa	NM_006206	S0226/PDGFRa.f2	SEQ ID NO:179	GGGAGTTTCCAAGAGATGGA	20
PDGFRa	NM_006206	S0228/PDGFRa.r2	SEQ ID NO:180	CTTCAACCACCTTCCCAAC	20
PDGFRa	NM_006206	S5020/PDGFRa.p2	SEQ ID NO:181	CCCAAGACCCGACCAAGCACTAG	23
PDGFRb	NM_002609	S1346/PDGFRb.f3	SEQ ID NO:182	CCAGCTCTCCTTCCAGTAC	20
PDGFRb	NM_002609	S1347/PDGFRb.r3	SEQ ID NO:183	GGGTGGCTCTCACTTAGCTC	20
PDGFRb	NM_002609	S4931/PDGFRb.p3	SEQ ID NO:184	ATCAATGTCCCTGTCCGAGTGCTG	24
PGK1	NM_000291	S0232/PGK1.f1	SEQ ID NO:185	AGAGCCAGTTGCTGTAGAAGTCAA	24
PGK1	NM_000291	S0234/PGK1.r1	SEQ ID NO:186	CTGGGCCCTACACAGTCTTCA	21
PGK1	NM_000291	S5022/PGK1.p1	SEQ ID NO:187	TCTCTGCTGGGCAAGGATGTTCTGTTG	27
RASSF1	NM_007182	S2393/RASSF1.f3	SEQ ID NO:188	AGTGGGAGACACCTGACCTT	20
RASSF1	NM_007182	S2394/RASSF1.r3	SEQ ID NO:189	TGATCTGGGCATTGTACTCC	20
RASSR	NM_007182	S4909/RASSF1.p3	SEQ ID NO:190	TTGATCTTCTGCTCAATCTCAGCTTGAGA	29
RPL1 9	NM_000981	S0253/RPL19.f3	SEQ ID NO:191	CCACAAGCTGAAGGCAGACA	20
RPL19	NM_000981	S0255/RPL19.r3	SEQ ID NO:192	GCGTGTCTCCTTGGTCTTAGA	21
RPL1 9	NM_000981	S4728/RPL19.p3	SEQ ID NO:193	CGCAAGAAGCTCCTGGCTGACC	22
RPLPO	NM_001002	S0256/RPLPO.f2	SEQ ID NO:194	CCATTCTATCATCAACGGGTACAA	24
RPLPO	NM_001002	S0258/RPLPO.r2	SEQ ID NO:195	TCAGCAAGTGGGAAGGTGTAATC	23
RPLPO	NM_001002	S4744/RPLPO.p2	SEQ ID NO:196	TCTCCACAGACAAGGCCAGGACTCG	25
RRM1	NM_001033	S2835/RRM1.f2	SEQ ID NO:197	GGGCTACTGGCAGCTACATT	20

RRM1	NM_001033	S2836/RRM1.r2	SEQ ID NO:198	CTCTCAGCATCGGTACAAGG	20
RRM1	NM_001033	S4970/RRM1.p2	SEQ ID NO:199	CATTGGAATTGCCATTAGTCCCAGC	25
STAT3	NM_003150	S1545/STAT3.f1	SEQ ID NO:200	TCACATGCCACTTTGGTGTT	20
STAT3	NM_003150	S1546/STAT3.r1	SEQ ID NO:201	CTTGCAGGAAGCGGCTATAC	20
STAT3	NM_003150	S4881/STAT3.p1	SEQ ID NO:202	TCCTGGGAGAGATTGACCAGCA	22
STAT5B	NM_012448	S2399/STAT5B.f2	SEQ ID NO:203	CCAGTGGTGGTGATCGTTCA	20
STAT5B	NM_012448	S2400/STAT5B.r2	SEQ ID NO:204	GCAAAAGCATTGTCCCAGAGA	21
STAT5B	NM_012448	S4910/STAT5B.p2	SEQ ID NO:205	CAGCCAGGACAACAATGCGACGG	23
STMY3	NM_005940	S2067/STMY3.f3	SEQ ID NO:206	CCTGGAGGCTGCAACATACC	20
STMY3	NM_005940	S2068/STMY3.r3	SEQ ID NO:207	TAGAATGGCTTTGGAGGATAGCA	23
STMY3	NM_005940	S4746/STMY3.p3	SEQ ID NO:208	ATCCTCCTGAAGCCCTTTTCGCAGC	25
Surfact A1	NM_005411	S2215/Surfac.f1	SEQ ID NO:209	TGGCCCTCAACCTCATCTTG	20
Surfact A1	NM_005411	S2216/Surfac.r1	SEQ ID NO:210	CTTCCAACACAAACGTCCTTCA	22
Surfact A1	NM_005411	S4930/Surfac.p1	SEQ ID NO:211	TTCGCACACAGCACCAGAGGCTG	23
TAGLN	NM_003186	S3185/TAGLN.f3	SEQ ID NO:212	GATGGAGCAGGTGGCTCAGT	20
TAGLN	NM_003186	S3186/TAGLN.r3	SEQ ID NO:213	AGTCTGGAACATGTCAGTCTTGATG	25
TAGLN	NM_003186	S3266/TAGLN.p3	SEQ ID NO:214	CCCAGAGTCCTCAGCCGCCTTCAG	24
TIMP2	NM_003255	S1680/TIMP2.f1	SEQ ID NO:215	TCACCCTCTGTGACTTCATCGT	22
TIMP2	NM_003255	S1681/TIMP2.r1	SEQ ID NO:216	TGTGGTTCAGGCTCTTCTTCTG	22
TIMP2	NM_003255	S4916/TIMP2.p1	SEQ ID NO:217	CCCTGGGACACCCTGAGCACCA	22
TITF1	NM_003317	S2224/TITF1.f1	SEQ ID NO:218	CGACTCCGTTCTCAGTGTCTGA	22
TITF1	NM_003317	S2225/TITF1.r1	SEQ ID NO:219	CCCTCCATGCCCACTTTCT	19
TITF1	NM_003317	S4829/TITF1.p1	SEQ ID NO:220	ATCTTGAGTCCCCTGGAGGAAAGC	24
VEGFC	NM_005429	S2251/VEGFC.f1	SEQ ID NO:221	CCTCAGCAAGACGTTATTTGAAATT	25
VEGFC	NM_005429	S2252/VEGFC.r1	SEQ ID NO:222	AAGTGTGATTGGCAAACTGATTG	24
VEGFC	NM_005429	S4758/VEGFC.p1	SEQ ID NO:223	CCTCTCTCTCAAGGCCCAAACCAGT	26
WISP1	NM_003882	S1671/WISPI.f1	SEQ ID NO:224	AGAGGCATCCATGAACCTTCA	22
WISP1	NM_003882	S1672/WISPI.r1	SEQ ID NO:225	CAAACTCCACAGTACTTGGGTTGA	24
WISP1	NM_003882	S4915/WISPI.p1	SEQ ID NO:226	CGGGCTGCATCAGCACACGC	20
XIAP	NM_001167	S0289/XIAP.f1	SEQ ID NO:227	GCAGTTGGAAGACACAGGAAAGT	23
XIAP	NM_001167	S0291/XIAP.r1	SEQ ID NO:228	TGCGTGGCACTATTTTCAAGA	21
XIAP	NM_001167	S4752/XIAP.p1	SEQ ID NO:229	TCCCCAAATTGCAGATTTATCAACGGC	27
YB-1	NM_004559	S1194/YB-1.f2	SEQ ID NO:230	AGACTGTGGAGTTTGATGTTGTTGA	25
YB-1	NM_004559	S1195/YB-1.r2	SEQ ID NO:231	GGAACACCACCAGGACCTGTAA	22
YB-1	NM_004559	S4843/YB-1.p2	SEQ ID NO:232	TTGCTGCCTCCGCACCCTTTTCT	23



WHAT IS CLAIMED IS:

1. A method for predicting the likelihood that a subject will respond to treatment with an EGFR inhibitor, comprising
  - 5 determining the expression level of one or more prognostic RNA transcripts or their expression products in a biological sample comprising cancer cells obtained from said patient, wherein the prognostic transcript is the transcript of one or more genes selected from the group consisting of: hCRA a; LAMC2; B2M; STAT5B; LMYC; CKAP4; TAGLN; Furin; DHFR; CCND3; TITF1; FUS; FLT1; TIMP2; RASSF1; WISP1; VEGFC; GPX2; CTSH; AKAP12; APC; RPL19; IGFBP6; Bak; CyclinG1; Hepsin1; MMP2; XIAP; MUC1; STMY3; PDGFRb; GSTp; p53R2; DPYD; IGFBP3; MMP9; RRM; KRT17; PDGFRa; EPHX1; E2F1; HNF3A; mGST1; STAT3; IGF1R; EGFR; cdc25A; RPLPO; YB-1; CKAP4; Kitlng; HER2; Surfact A; BTC; PGK1; MTA1; FOLR1; Claudin 4; EMP1 wherein
    - 15 (a) for every unit of increased expression of one or more of hCRA a; LAMC2; STAT5B; CKAP4; TAGLN; Furin; FUS; FLT1; TIMP2; RASSF1; WISP1; VEGFC; GPX2; AKAP12; RPL19; IGFBP6; MMP2; STMY3; PDGFRb; GSTp; IGFBP3; MMP9; KRT17; PDGFRa; IGF1R; cdc25A; RPLPO; YB-1; CKAP4, EMP1 or the corresponding expression product, said subject is expected to have a decreased likelihood of response to treatment with an EGFR inhibitor, and
      - 20 (b) for every unit of increased expression of one or more of B2M; LMYC; DHFR; CCND3; TITF1; CTSH; APC; Bak; CyclinG1; Hepsin1; XIAP; MUC1; p53R2; DPYD; RRM; EPHX1; E2F1; HNF3A; mGST1; STAT3; EGFR; Kitlng; HER2; Surfact A; BTC; PGK1; MTA1; FOLR1; Claudin 4, or the corresponding expression product, said subject is expected to have an increased likelihood of response to treatment with an EGFR inhibitor.
  2. The method of claim 1 wherein said subject is a human patient.
  3. The method of claim 2 comprising determining the expression level of at least two of said prognostic transcripts or their expression products.
  - 30 4. The method of claim 2 comprising determining the expression level of at least 5 of said prognostic transcripts or their expression products.
  5. The method of claim 2 comprising determining the expression level of all of said prognostic transcripts or their expression products.

6. The method of claim 2 wherein said cancer is selected from the group consisting of ovarian cancer, colon cancer, pancreatic cancer, non-small cell lung cancer, breast cancer, and head and neck cancer.

7. The method of claim 2 wherein said biological sample is a tissue sample  
5 comprising cancer cells.

8. The method of claim 7 where the tissue is fixed, paraffin-embedded, or fresh, or frozen.

9. The method of claim 7 where the tissue is from fine needle, core, or other types of biopsy.

10. The method of claim 7 wherein the tissue sample is obtained by fine needle aspiration, bronchial lavage, or transbronchial biopsy.

11. The method of claim 1 wherein the expression level of said prognostic RNA transcript or transcripts is determined by RT-PCR.

12. The method of claim 1 wherein the expression level of said expression  
15 product or products is determined by immunohistochemistry.

13. The method of claim 1 wherein the expression level of said expression product or products is determined by proteomics technology.

14. The method of claim 1 wherein the assay for measurement of the prognostic RNA transcripts or their expression products is provided in the form of a kit  
20 or kits.

15. The method of claim 1 wherein the EGFR inhibitor is an antibody or an antibody fragment.

16. The method of claim 1 wherein the EGFR inhibitor is a small molecule.

17. An array comprising polynucleotides hybridizing to one of more of the  
25 following genes: hCRA a; LAMC2; B2M; STAT5B; LMYC; CKAP4; TAGLN; Furin; DHFR; CCND3; TITF1; FUS; FLT1; TIMP2; RASSF1; WISP1; VEGFC; GPX2; CTSH; AKAP12; APC; RPL19; IGFBP6; Bak; CyclinG1; Hepsin1; MMP2; XIAP; MUC1; STMY3; PDGFRb; GSTp; p53R2; DPYD; IGFBP3; MMP9; RRM; KRT17; PDGFRa; EPHX1; E2F1; HNF3A; mGST1; STAT3; IGF1R; EGFR; cdc25A; RPLPO;  
30 YB-1; CKAP4; Kitlng; HER2; Surfact A; BTC; PGK1; MTA1; FOLR1; Claudin 4; EMP1, immobilized on a solid surface.

18. The array of claim 17 comprising polynucleotides hybridizing to a plurality of said genes.

19. The array of claim 18 comprising polynucleotides hybridizing to at least 5 of sad genes.

20. The array of claim 18 comprising polynucleotides hybridizing to at least 10 of said genes.

5 21. The array of claim 18 comprising polynucleotides hybridizing to at least 15 of said genes.

22. The array of claim 18 comprising polynucleotides hybridizing to all of said genes.

23. The array of claim 18 comprising more than one polynucleotide  
10 hybridizing to the same gene.

24. The array of claim 18, wherein at least one of said polynucleotides comprises an intron-based sequence, the expression of which correlates with the expression of a corresponding exon sequence.

25. The array of claim 17 wherein said polynucleotides are cDNAs.

15 26. The array of claim 25 wherein said cDNAs are about 500 to 5000 bases long.

27. The array of claim 17 wherein said polynucleotides are oligonucleotides.

28. The array of claim 27 wherein said oligonucleotides are about 20 to 80 bases long.

20 29. The array of claim 28 which comprises about 330,000 oligonucleotides.

30. The array of claim 17 wherein said solid surface is glass.

31. A method of preparing a personalized genomics profile for a patient, comprising the steps of:

25 (a) subjecting RNA extracted from cancer cells obtained from the patient to gene expression analysis;

(b) determining the expression level in the tissue of one or more genes selected from the group consisting of hCRA a; LAMC2; B2M; STAT5B; LMYC; CKAP4; TAGLN; Furin; DHFR; CCND3; TITF1; FUS; FLT1; TIMP2; RASSF1; WISP1; VEGFC; GPX2; CTSH; AKAP12; APC; RPL19; IGFBP6; Bak; CyclinG1; 30 Hepsin1; MMP2; XIAP; MUC1; STMY3; PDGFRb; GSTp; p53R2; DPYD; IGFBP3; MMP9; RRM; KRT17; PDGFra; EPHX1; E2F1; HNF3A; mGST1; STAT3; IGF1R; EGFR; cdc25A; RPLPO; YB-1; CKAP4; Kitlng; HER2; Surfact A; BTC; PGK1; MTA1; FOLR1, EMP1 wherein the expression level is normalized against a control gene or

genes and optionally is compared to the amount found in a corresponding cancer reference tissue set; and

(c) creating a report summarizing the data obtained by said gene expression analysis.

5           32. The method of claim 31 wherein said cancer cells are obtained from a solid tumor.

33. The method of claim 32 wherein said solid tumor is selected from the group consisting of breast cancer, ovarian cancer, gastric cancer, colorectal cancer, pancreatic cancer, and lung cancer.

10           34. The method of claim 31 wherein said cancer cells are obtained from a fixed, paraffin-embedded biopsy sample.

35. The method of claim 34 wherein said RNA is fragmented.

36. The method of claim 31 wherein said report includes prediction of the likelihood that the patient will respond to treatment with an EGFR inhibitor.

15           37. The method of claim 36 wherein said report includes recommendation for a treatment modality of said patient.

38. The method of claim 31 wherein if increased expression of one or more of B2M; LMYC; DHFR; CCND3; TITF1; CTSH; APC; Bak; CyclinG1; Hepsin1; XIAP; MUC1; p53R2; DPYD; RRM; EPHX1; E2F1; HNF3A; mGST1; STAT3; EGFR; Kitlmg; 20 HER2; Surfact A; BTC; PGK1; MTA1; FOLR1; Claudin 4, or the corresponding expression product, is determined, said report includes a prediction that said subject has an increased likelihood of response to treatment with an EGFR inhibitor.

39. The method of claim 38 further comprising the step of treating said patient with an EGFR inhibitor.

25           40. A method for amplification of a gene selected from the group consisting of hCRA a; LAMC2; B2M; STAT5B; LMYC; CKAP4; TAGLN; Furin; DHFR; CCND3; TITF1; FUS; FLT1; TIMP2; RASSF1; WISP1; VEGFC; GPX2; CTSH; AKAP12; APC; RPL19; IGFBP6; Bak; CyclinG1; Hepsin1; MMP2; XIAP; MUC1; STMY3; PDGFRb; GSTp; p53R2; DPYD; IGFBP3; MMP9; RRM; KRT17; PDGFRa; 30 EPHX1; E2F1; HNF3A; mGST1; STAT3; IGF1R; EGFR; cdc25A; RPLPO; YB-1; CKAP4; Kitlmg; HER2; Surfact A; BTC; PGK1; MTA1; FOLR1; Claudin 4; EMP1 by polymerase chain reaction (PCR), comprising performing said PCR by using a

corresponding amplicon listed in Table 3, and a corresponding primer-probe set listed in Table 4.

41. A PCR primer-probe set listed in Table 4.
42. A PCR amplicon listed in Table 3.

## SEQUENCE LISTING

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Baker Joffre  
Natale Ron  
Shak Steven

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